

EPA ENFORCEMENT ACCOUNTS RECEIVABLE CONTROL NUMBER FORM FOR ADMINISTRATIVE ACTIONS

This form was originated by Wanda I. Santiago for Kam Tham  
Name of Case Attorney

1/17/12  
Date

in the ORC (RAA) at 918-1113  
Office & Mail Code Phone number

Case Docket Number FIFRA-01-2011-0068

Site-specific Superfund (SF) Acct. Number \_\_\_\_\_

This is an original debt  This is a modification

Name and address of Person and/or Company/Municipality making the payment:

Gotham Technologies, Inc.  
21 South Street  
Norwalk, CT 06854

Total Dollar Amount of Receivable \$ 2,970 Due Date: 2/12/12

SEP due? Yes  No  Date Due \_\_\_\_\_

Installment Method (if applicable)

INSTALLMENTS OF:

1<sup>ST</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

2<sup>nd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

3<sup>rd</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

4<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

5<sup>th</sup> \$ \_\_\_\_\_ on \_\_\_\_\_

For RHC Tracking Purposes:

Copy of Check Received by RHC \_\_\_\_\_ Notice Sent to Finance \_\_\_\_\_

**TO BE FILLED OUT BY LOCAL FINANCIAL MANAGEMENT OFFICE:**

IFMS Accounts Receivable Control Number \_\_\_\_\_

If you have any questions call: \_\_\_\_\_  
in the Financial Management Office

Phone Number \_\_\_\_\_



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 1 – New ENGLAND  
5 Post Office Square, Suite 100  
Boston, MA 02109-3912

RECEIVED

2012 JAN 13 P 3: 22  
OFFICE OF  
ENVIRONMENTAL STEWARDSHIP

EPA ORC  
OFFICE OF  
REGIONAL HEARING CLERK

January 13, 2012

Kan S. Tham  
direct: (617) 918-1872

BY HAND

Wanda I. Santiago, Regional Hearing Clerk  
EPA Region 1 – New England  
5 Post Office Square, Suite 100 (ORA 18-1)  
Boston, MA 02109-3912

JAN 13 2012

Re: *In the Matter of: Gotham Technologies, Inc., Docket No. FIFRA-01-2011-0068;*  
Approved Consent Agreement and Final Order

Dear Ms. Santiago:

Please find enclosed for filling the original and one copy of a Consent Agreement and Final Order (CAFO) resolving the above-entitled enforcement case. Also, enclosed are the original and one copy of a certificate of service documenting that, on this date, a copy of the CAFO was mailed to Respondent's representative, in the manner indicated.

Thank you for your assistance in this matter.

Sincerely,

Kan S. Tham  
FIFRA Enforcement Coordinator  
U.S. EPA Region 1

Enclosures

cc: Richard Elkin, President  
Hugh W. Martinez, EPA Region 1, Senior Enforcement Counsel (electronically)

U.S. ENVIRONMENTAL PROTECTION AGENCY  
REGION 1 (NEW ENGLAND)

RECEIVED

2012 JAN 13 P 3:22

\_\_\_\_\_  
In The Matter Of: )  
)  
Gotham Technologies, Inc. )  
21 South Street )  
Norwalk, CT 06854 )  
)  
Respondent. )  
\_\_\_\_\_ )

Docket No. FIFRA-01-2011-0068

CONSENT AGREEMENT and  
FINAL ORDER

EPA ORC  
OFFICE OF  
HEARING CLERK

INTRODUCTION

1. The United States Environmental Protection Agency-Region 1 (“EPA”), as Complainant, and Gotham Technologies, Inc. (“Gotham” or “Respondent”), as Respondent, enter into this Consent Agreement and Final Order (“CAFO”) by mutual consent. The CAFO informs Respondent of EPA’s intention to assess a penalty against Gotham for alleged violations of Section 12 of the Federal Insecticide, Fungicide and Rodenticide Act, as amended (“FIFRA”), 7 U.S.C. § 136j, and implementing regulations at 40 C.F.R. Part 167, Subpart E. The CAFO also informs Respondent of its right to request a hearing.

2. This CAFO simultaneously commences and concludes the cause of action described herein, pursuant to 40 C.F.R. §§ 22.13(b) and 22.18(b), and Section 14(a) of FIFRA, 7 U.S.C. § 136l(a). Complainant and Respondent (collectively, the “Parties”) agree that settlement of this matter is in the public interest and that entry of this CAFO without litigation is the most appropriate means of resolving this matter.

3. Therefore, before any hearing or the taking of any testimony, without adjudication of any issue of fact or law herein, the Parties agree to comply with the terms of this CAFO.

### **PRELIMINARY STATEMENT**

4. Respondent is a corporation organized under the laws of the State of Connecticut. Respondent's principal place of business is located at 21 South Street, Norwalk, CT 06854 (the "Facility"). Respondent is a "person" as defined in Section 2(s) of FIFRA, 7 U.S.C. § 136(s).

5. Pursuant to Sections 7(a) and (b) of FIFRA, 7 U.S.C. §§ 136e(a) and (b), and 40 C.F.R. § 167.20, on or about July 16, 1990, Respondent registered the Facility as a pesticide-producing establishment. EPA's national office in Washington, DC ("EPA Headquarters") assigned the Office of Pesticide Program company number 54310. Subsequently, EPA Region 1 assigned the EPA Establishment Number 54310-CT-001 to the Facility.

6. Section 7(c)(1) of FIFRA, 7 U.S.C. § 136e(c)(1), and the implementing regulations codified at 40 C.F.R. Part 167, Subpart E, require any producer operating a registered establishment to report to EPA the types and amounts of each pesticide product that it is currently producing, that it produced during the past year, and that it sold or distributed during the past year.

7. Under 40 C.F.R. § 167.85(d), a producer is responsible for obtaining, completing and reporting this information each year, even if it has not produced any pesticidal product for the reporting year. The information must be submitted to EPA, on a form available from EPA Headquarters, on or before March 1 of the year subsequent to the calendar year which is the subject of the report.

8. Section 14(a) of FIFRA, 7 U.S.C. § 136l(a), authorizes a civil penalty of up to \$5,000 against any registrant, commercial applicator, wholesaler, dealer, retailer or

other distributor for each violation of FIFRA. Pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990, 28 U.S.C. § 2461, as amended by EPA's 2008 Civil Monetary Penalty Inflation Adjustment Rule, 40 C.F.R. Part 19, promulgated in accordance with the Debt Collection Improvement Act of 1996 ("DCIA"), 31 U.S.C. § 3701, this amount was increased to \$6,500 for violations occurring after March 15, 2004, and to \$7,500 for violations occurring after January 12, 2009.

9. On or about December 8, 2008, EPA issued a Notice of Warning ("NOW") [No. FIFRA-NOW-2008-018] to Respondent, pursuant to Section 12(a)(2)(L) of FIFRA, 7 U.S.C. § 136j(a)(2)(L), for the failure to timely file its annual pesticide production report for calendar year 2007, as required by Section 7 of FIFRA, on or before the March 1, 2008 due date. Gotham received the NOW on or about December 11, 2008.

10. Respondent stipulates that EPA has jurisdiction over the subject matter alleged in this CAFO. For the purposes of this proceeding, Respondent waives any defenses it might have as to jurisdiction and venue and, without admitting or denying EPA's factual findings or allegations of violation herein, Respondent consents to the terms of this CAFO.

11. Respondent acknowledges that it has been informed of the right to request a hearing and hereby waives its right to request a judicial or administrative hearing on any issue of law or fact set forth in this CAFO. Respondent also waives its right to appeal the Final Order accompanying the Consent Agreement.

12. By signing this CAFO, Respondent certifies that it is presently operating in compliance with Section 7(c) of FIFRA, 7 U.S.C. § 136e(c), and has fully addressed the violations alleged by EPA herein.

### **EPA FINDINGS**

13. EPA finds, based on its investigation of facts and circumstances underlying Gotham's participation as an owner or operator of a pesticide-producing establishment, that Respondent violated Section 7(c) of FIFRA, as further described.

14. During calendar year 2010 the Facility was registered with EPA as a pesticide-producing establishment under Section 7(b) of FIFRA, 7 U.S.C. § 136e(b), and 40 C.F.R. Part 167.

15. EPA Headquarters mails annual reminder notices to registered pesticide-producing establishments of the FIFRA requirement to submit a production report. These reminders are mailed at least two months in advance of the March 1 reporting deadline. The reminder notices are sent as a courtesy to registered establishments, as there is no statutory or regulatory requirement for EPA to do so. On or about November 30, 2010, EPA Headquarters mailed a notice to Respondent at the Facility reminding Respondent of the requirement to submit an annual pesticide production report to EPA by March 1, 2011, for the 2010 calendar year.

16. Notwithstanding the March 1, 2011 due date; the reminder notices from EPA Headquarters; and issuance of the NOW, as described in Paragraphs 9 and 15, Respondent did not submit its pesticide production reports for calendar years 2010 until April 14, 2011, 44 days after the March 1 due date.

17. Respondent's failure to submit the required information to EPA for calendar year 2010 on or before March 1, 2011 constitutes a violation of Section 7(c)(1) of FIFRA and 40 C.F.R. Part 167, Subpart E. Such failures are unlawful pursuant to

Section 12(a)(2)(L) of FIFRA and are violations for which penalties may be assessed pursuant to Section 14(a) of FIFRA.

**TERMS OF SETTLEMENT**

18. In light of the above, and taking into account the factors enumerated in Section 14(a) of FIFRA, EPA's May 2010 "Enforcement Response Policy for FIFRA Section 7(c) Establishment Reporting Requirements" (the "Penalty Policy"), the Monetary Penalty Inflation Adjustment Rule promulgated in accordance with the DCIA and other factors as justice may require, EPA has determined that it is fair and appropriate that Respondent pay a civil penalty in the amount of \$2,970 in settlement of the violations alleged herein.

19. Respondent shall pay the penalty of \$2,970 within thirty (30) days of receipt of this CAFO signed by the Regional Judicial Officer.

20. Respondent shall make payment by submitting a bank or certified check, to the order of the "Treasurer, United States of America" in the amount of \$ 2,970 to:

U.S. Environmental Protection Agency  
Cincinnati Finance Center  
P.O. Box 979077  
St. Louis, MO 63197-9000

and shall provide copies of the check to:

Regional Hearing Clerk  
U.S. Environmental Protection Agency  
Region 1 (Mail Code ORA 18-1)  
5 Post Office Square, Suite 100  
Boston, MA 02109-3912

and:

Kan Tham, FIFRA Enforcement Coordinator  
U.S. Environmental Protection Agency  
Region 1 (Mail Code OES 05-4)

5 Post Office Square, Suite 100  
Boston, MA 02109-3912

Respondent shall include the case name and docket number (*In re: Gotham Technologies, Inc.*, FIFRA-01-2011-0068) on the face of the check.

21. If Respondent fails to pay the civil penalty, it will be subject to an action to compel payment, plus interest, enforcement expenses and a nonpayment penalty. Pursuant to 31 U.S.C. § 3717, EPA is entitled to assess interest and penalties on debts owed to the United States, as well as a charge to cover the cost of processing and handling a delinquent claim. Interest will therefore begin to accrue on the civil penalty (or any portion thereof) on the date it is due under this CAFO if such penalty (or portion thereof) is not paid in full by such due date. Interest will be assessed at the rate of the United States Treasury tax and loan rate in accordance with 31 C.F.R. § 901.9(b)(2). In addition, a penalty charge of six percent (6%) per year and an amount to cover the costs of collection will be assessed on any portion of the debt which remains delinquent more than ninety (90) days after payment is due. Should assessment of the penalty charge on the debt be required, it will be assessed as of the first day payment is due, under 31 C.F.R. § 901.9(d).

22. The civil penalty due and any interest, non-payment penalties or charges that arise pursuant to this CAFO shall represent penalties assessed by EPA and shall not be deductible for the purposes of Federal taxes. Accordingly, Respondent agrees to treat all payments made pursuant to this CAFO as penalties within the meaning of Internal Revenue Service regulations, including 26 C.F.R. § 1.162-21, and further agrees not to use these payments in any way as, or in furtherance of, a tax deduction under Federal, State or local law.

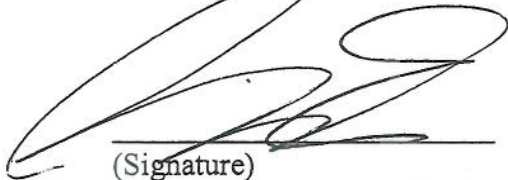


23. This CAFO constitutes a settlement by EPA of all claims for civil penalties pursuant to Section 14(a) of FIFRA, for the specific violations alleged in this CAFO. Except as otherwise provided herein, EPA reserves all civil and criminal enforcement authorities, and specifically reserves its authority to address imminent hazards. Compliance with this CAFO shall not be a defense to any action subsequently commenced pursuant to Federal laws and regulations administered by EPA, and it is the responsibility of Respondent to comply with said laws and regulations.

24. Each Party shall bear its own costs and attorneys' fees in the action resolved by this CAFO, and Respondent specifically waives its right to seek attorneys' fees under the Equal Access to Justice Act, 5 U.S.C. § 504.

25. The undersigned representative of Respondent certifies that he or she is fully authorized to entire into the terms and conditions of this CAFO and to execute and legally bind Respondent to it.

For Respondent:



(Signature)

Richard Silkin

(Print Name)

Gotham Technologies, Inc.  
21 South Street  
Norwalk, CT 06854

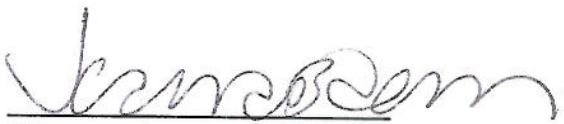
President

(Title)

11/28/11

(Date)

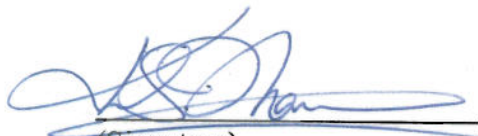
For U.S. EPA - Region 1:



(Signature)

Joanna B. Jerison, Legal Enforcement Manager  
Office of Environmental Stewardship  
U.S. EPA - Region 1

1/5/12  
(Date)



(Signature)

Kan Tham, FIFRA Enforcement Coordinator  
Toxics and Pesticides Unit  
Office of Environmental Stewardship  
U.S. EPA - Region 1

12/23/2011  
(Date)

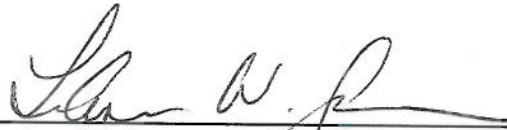
Of Counsel:

Hugh W. Martinez, Senior Enforcement Counsel  
Regulatory Legal Office  
Office of Environmental Stewardship  
U.S. EPA - Region 1

**FINAL ORDER**

The foregoing Consent Agreement is hereby approved and incorporated by reference into this Final Order. Respondent, Gotham Technologies, Inc., is hereby ordered to comply with the terms of the above Consent Agreement, effective on the date it is filed with the Regional Hearing Clerk.

1/13/12  
(Date)

  
\_\_\_\_\_  
LeAnn W. Jensen, Acting Regional Judicial Officer  
U.S. EPA - Region 1

**GOTHAM TECHNOLOGIES, INC.**

21 SOUTH ST  
NORWALK, CT 06854  
PH 203-854-6644

Wachovia Bank,  
a division of Wells Fargo Bank, N.A.  
51-110/211

13120

11/28/2011

PAY TO THE ORDER OF US DEPT OF ENVIRONMENTAL PROTECTION

\$ \*\*2,970.00

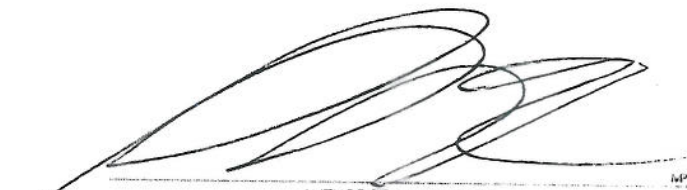
Two Thousand Nine Hundred Seventy and 00/100\*\*\*\*\*

DOLLARS

US DEPT OF ENVIRONMENTAL PROTECTION  
CINCINNATI FINANCE CENTER  
PO BOX 979077  
ST LOUIS, MO 63197-9000

MEMO

PENALTY PYMT/FIFRA-01-2011-0068



AUTHORIZED SIGNATURE

⑈013120⑈ ⑆021101108⑆ 2008011267319⑈

GOTHAM TECHNOLOGIES, INC.

13120

US DEPT OF ENVIRONMENTAL PROTECTION

Date	Type	Reference	Original Amt.	Balance Due	11/28/2011 Discount	Payment
11/28/2011	Bill	FIFRA-01-2011-0068	2,970.00	2,970.00		2,970.00
				Check Amount		2,970.00

WACHOVIA checkin PENALTY PYMT/FIFRA-01-2011-0068

2,970.00

In re: Gotham Technologies, Inc.  
FIFRA-01-2011-0068

**CERTIFICATE OF SERVICE**

I hereby certify that the foregoing CAFO and Cover Letter to the Regional Hearing Clerk have been provided to the following persons on the date noted below:

*Original and one copy,  
Hand-delivered:*

Wanda I. Santiago, Regional Hearing Clerk  
EPA Region 1 – New England  
5 Post Office Square, Suite 100 (ORA 18-1)  
Boston, MA 02109-3912

*One copy by certified mail,  
Return receipt requested:*

Richard Elkin, President  
Gotham Technologies, Inc.  
21 South Street  
Norwalk, CT 06854

Date: 1/13/2012

  
Kan S. Tham, FIFRA Enforcement Coordinator  
U. S. EPA Region 1 (New England)  
5 Post Office Square, Suite 100  
Mail Code (OES 05-4)  
Boston, MA 02109-3912  
Phone (dir.): (617) 918-1872  
Fax: (617) 918-0872  
Email: [tham.kan@epa.gov](mailto:tham.kan@epa.gov)